

4/01/05

EFW 2125



Practitioner's Docket No.: 791\_176

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Toshiyuki MIMA

Ser. No.: 10/010,925

Art Unit: 2125

Filed: December 7, 2001

Examiner: Alexander J. Kosowski

Confirmation No.: 5613

For: METHOD FOR RUNNING ELECTRIC ENERGY STORAGE SYSTEM

M.S. Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to M.S. Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 31, 2005 under "EXPRESS MAIL" mailing label number EV 373084322 US.

Gina M. Husak

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(c)

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either:
  - (1) a final action under § 1.113,
  - (2) a notice of allowance under § 1.311 or
  - (3) an Office Action that closes prosecution.

STATEMENT OR SUBMISSION FEE

2. Accompanying this transmittal is

A. ☒ a statement as specified in 37 C.F.R. 1.97(e).

OR

B. ☐ the submission fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Fee due: \$ 0.00

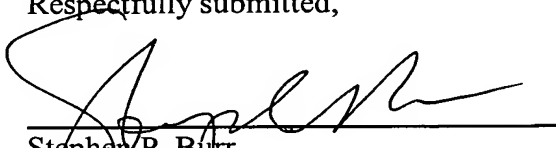
**METHOD OF PAYMENT OF FEE**

3. ☐ Attached is a check in the amount of \$\_\_\_\_.  
☐ Charge Account No. 50-1446 in the amount of \$\_\_\_\_. A duplicate of this request is attached.
4. ☒ If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,

March 31, 2005

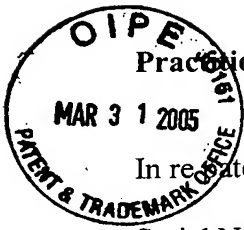
Date

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

SPB/gmh

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



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Serial No.: 10/010,925

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Gina M. Husak

**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**

Sir:

☒ Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

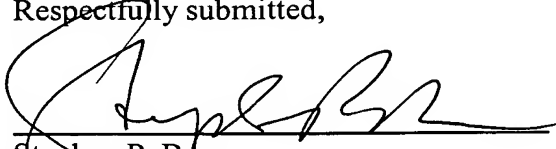
OR

☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

March 31, 2005

Date

  
Stephen P. Burr  
Reg. No. 32,970

SPB/gmh

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of: Toshiyuki MIMA

Ser. No.: 10/010,925

Art Unit: 2125

Filed: December 7, 2001

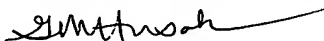
Examiner: Alexander J. Kosowski

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Gina M. Husak

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of March 2, 2005 from a corresponding European patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

In compliance with the concise explanation requirement under 37 CFR § 1.98(a)(3) for foreign language documents, Applicant submits the following:

1. JP 2000-045869 A1 (Ref. AC) corresponds to U.S. Patent No. 6,487,508 (Ref. AA).

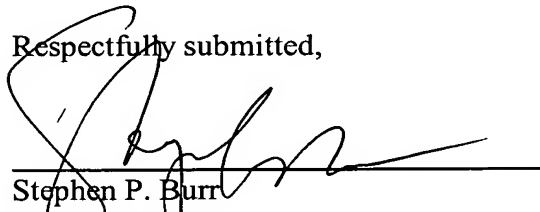
2. JP 11-308771 (Ref. AD) corresponds to U.S. Patent Publication No. 2001/0025209 (Ref. AB).
3. EP 0 824 779 (Ref. AE) was replaced by International Publication No. WO 96/36100 according to Article 158 of the PCT.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

March 31, 2005  
Date

Respectfully submitted,

  
Stephen P. Burr  
Reg. No. 32,970

SPB/gmh

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Form PTO-1449  US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <div style="border: 2px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>   <b>MAR 31 2005</b>  <small>(use many sheets as necessary)</small> </div>				<i>Complete if Known</i>	
				<b>Application Number</b>	10/010,925
				<b>Filing Date</b>	December 7, 2001
				<b>First Named Inventor</b>	Toshiyuki MIMA
				<b>Art Unit</b>	2125
				<b>Examiner Name</b>	Alexander J. Kosowski
				<b>Confirmation No.</b>	5613
				<b>Attorney Docket No.</b>	791_176
Sheet	1	of	1		

### U.S. PATENT DOCUMENTS

Exam. Initial		Document Number	Date	Name	Our Docket No.	Class	Sub Class	Filing Date
	AA	6,487,508	11/26/02	Suzuki et al.				05/31/00
	AB	2001/0025209	09/27/01	Fukui et al.				02/27/01

### U.S. COPENDING APPLICATIONS

Exam. Initial		Application/ Publication Number	Filing/ Publication Date	Inventor Name	Our Docket No.	Class	Sub Class

### FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Translation	Abstract
	AC	2000-045869	02/15/00	JP			JPO website translation	X
	AD	11-308771	11/05/99	JP			JPO website translation	X
	AE	0 824 779	11/14/96	EP				X

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)


Examiner:	Date Considered:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.